

SiGe EAM-Based Transceivers for Datacenter Interconnects and Radio over Fiber

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Abstract—Silicon photonics is a key-enabling technology leveraging decades of effort and infrastructure of the microelectronics CMOS industry resulting in high yield, low cost and potential high volume manufacturing. Furthermore, due to the high index contrast of the platform, very compact, high-complexity photonic integrated circuits can be devised. To benefit from these advantages, high-speed modulators should also be compatible with silicon technology. In this respect, SiGe electro-absorption modulators (EAM) are considered as a promising candidate since they are CMOS-compatible and offer high-speed, compact, low-loss and low-power modulation. In this paper, we discuss SiGe EAM-based transceivers for next-generation datacenter interconnects (DCI) and radio-over-fiber (RoF) fronthaul in next-generation cellular networks.

Index Terms—Data Center Interconnects (DCI), Electro-Absorption Modulator (EAM), Integrated Optoelectronics, Radio-over-Fiber (RoF), Silicon Photonics (SiPh)

I. INTRODUCTION

SILICON photonics is a key enabling technology which offers strong miniaturization of complex optical systems into an integrated circuit [1]–[4]. Potential applications are seemingly endless: (bio-)sensing, LIDAR, quantum computing, etc. Currently the main market for silicon photonics consists of transceivers for datacenters and long-haul optical communication. Furthermore, the market share of silicon photonics for 5G is also rapidly growing [5]. These silicon

photonics integrated circuits rely on the infrastructure of the microelectronics CMOS industry to enable low-cost, high-yield and high-volume manufacturing by implementing the optical functionality on a silicon-on-insulator (SOI) platform. Due to the high index contrast between silicon and its oxide, it is possible to realize complex optical functionality in a compact footprint. The silicon photonics platform used for the devices discussed in this paper is imec’s iSiPP50G[6]. Apart from high-speed modulators and detectors, this platform also includes compact, low-loss waveguides, splitters, phase shifters, couplers, etc. For high-speed modulation [7], three types of components are available: carrier-depletion based Mach-Zehnder modulators (MZM), ring modulators and SiGe C-band electro-absorption modulators (EAM). In this paper, we focus on our circuits where EAMs [8] play a key role since this type of modulator is a promising candidate as it offers high-speed, low-power modulation on a compact footprint. Furthermore, EAMs are less wavelength-sensitive than ring modulators, both to changes in temperature and fabrication. Modulation in an EAM is based on the Franz-Keldysh effect where the bandgap can effectively be altered by applying an electric field. This will red shift the absorption curve and re-aligns intensity modulation for wavelengths near the bandgap. An increase in temperature narrows the bandgap resulting in a red shift of the absorption spectrum of the SiGe EAM by 0.8 nm per °C [6], [8]. However, the 1 dB optical bandwidth of the EAMs is approximately 30 nm [6] and therefore all but one of the experiments in this paper were performed without any temperature control. The only time temperature control was used, is for the frequency upconversion structure [30], where the TEC temperature was set to 20°C.

This article consists of two main parts: transceivers for data center interconnects (DCI) applications (Sections II to IV) and Radio-over-Fiber (RoF) transceivers for mobile applications (Sections V to VIII). Section II demonstrates how 4-level pulse-amplitude modulation (PAM-4) can be generated optically to avoid non-linearities in the modulator and allow for higher-efficiency non-linear drivers. Subsequently, Section III illustrates a 104 Gbaud optical time domain multiplexed (OTDM) transmitter multiplexing four data streams. Finally, Section IV shows that the EAMs can be used both for modulation and detection of an optical signal which is validated by implementing an EAM-based PAM-4 link.

The second part of this paper starts by describing a reflective

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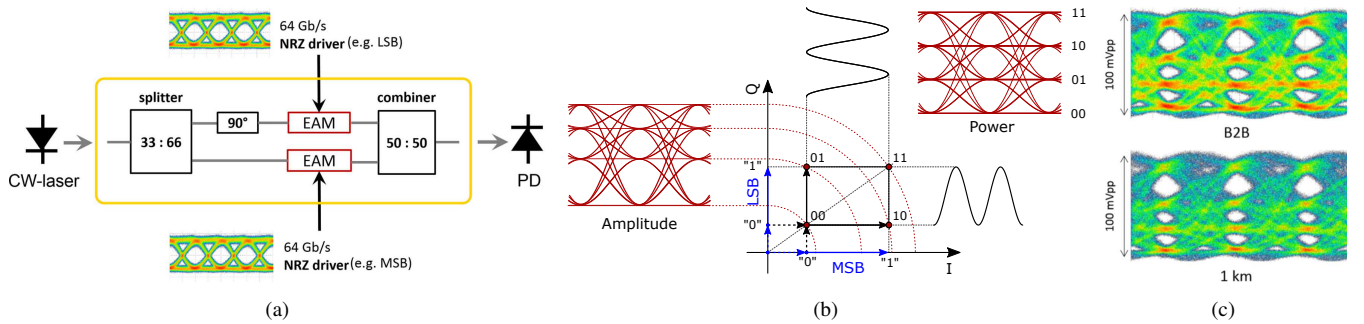


Fig. 1: 2-bit optical digital-to-analog converter (ODAC) for PAM-4 generation: (a) Operation principle; (b) Vector and eye diagrams; (c) Measured eye diagrams at 64 GBd in back-to-back (B2B) case and after 1km SSMF

mmWave-over-Fiber transmitter in Section V. In Section VI, a technique is discussed to reduce the impractically high sampling rate of high carrier frequency Sigma-Delta-over-Fiber (SDoF) transmitters. Next, a quadrature EAM topology is discussed in Section VII where the required electrical 90° phase shift is implemented optically. This structure is validated as an optical single sideband (OSSB) transmitter and as a full duplex transceiver. Finally, Section VIII covers two EAM-based architectures for photonic frequency conversion.

II. OPTICAL PAM-4 GENERATION

Current standards for single mode DCI target 400 Gigabit Ethernet (GbE) by using 8 lanes of 53.125 Gb/s PAM-4 for 1 and 2km standard single mode fiber (SSMF) and 4 lanes of 106.25 Gb/s PAM-4 for 500m SSMF [9]. It is therefore clear that PAM-4 is the preferred modulation format for 400 GbE. However, multi-level formats bring additional complexity to the signal generation as they suffer from the non-linearities in the modulator and driver. Therefore, [10] discusses a technique to generate the PAM-4 signal optically using the structure shown in Fig. 1(a). The illustrated architecture enables the generation of PAM-4 using two EAMs connected in a Mach-Zehnder interferometer (MZI) topology where each EAM is binary driven. Equidistant PAM-4 eyes can be generated using a 33:66 splitter at the input of the MZI while introducing an optical 90° phase shift between both MZI arms. Because the EAMs are binary driven, more efficient drivers can be used than when linear PAM-4 drivers are required and the EAMs can be driven using stronger electrical input signals resulting in an increased extinction ratio ER (e.g. a dynamic ER of about 10 dB is obtained in [10] by driving the EAMs with 2.2 Vpp and 1.1 Vpp). The resulting vector and eye diagrams of the proposed PAM-4 transmitter are shown in Fig. 1(b). Based on this principle, [10] demonstrated the generation of 64 Gbaud PAM-4 using two binary driven SiGe EAMs (Fig. 1(c)) capable of transmitting over B2B and 1 km SSMF with bit error rates (BERs) of $4E-10$ and $8E-6$ respectively, meeting the KP4-FEC limit ($2.4E-4$) without requiring electrical analog-to-digital converters (ADCs), digital-to-analog converters (DACs) or digital signal processing (DSP). The described PAM-4 transmitter offers a lower power consumption and complexity compared to traditional PAM-4 transmitters where the

PAM-4 is generated electrically and fed to a single modulator, however, this comes at the cost of an added inherent 3 dB optical insertion loss compared to the single modulator. An example of an alternative ODAC solution is the segmented MZM [11] which typically results in a larger design but can offer increased ER or improved insertion loss compared to the proposed EAM-based solution which occupies $275 \mu\text{m} \times 1720 \mu\text{m}$ on the photonic IC.

III. EAM-BASED OTDM

Next generation DCI will target even higher data rates such as 800 Gb/s and 1.6 Tb/s. This can be realized using more lanes, more complex data formats and/or higher baud rates. To preserve the number of lanes and avoid the increase in complexity and power consumption inherent to adopting PAM-16, the symbol rate per lane should be increased. In this respect, 100 Gbaud will likely be the next standard symbol rate. However, such high lane rates require bandwidths of optical and electrical components to exceed approximately 70 GHz. Alternatively, [12] proposed to use optical time domain multiplexing (OTDM) to realize these higher baud rates. The concept of this scheme is shown in Fig. 2(a) and the fabricated device can be seen in Fig. 3. Such an OTDM structure allows for using lower-bandwidth electrical and optical components (quarter rate for a 4:1 serializer) but introduces an additional insertion loss (6 dB for a 4:1 serializer) and requires a pulsed optical source instead of the typically seen continuous wave laser at the input of the transmitter. Recent progress in mode-locked lasers [13] makes this a solution to be considered. In [12], this optical 4:1 serializer was used to demonstrate the world's first intensity modulation, direct detection (IM/DD) silicon modulator capable of generating 208 Gb/s (104 Gbaud PAM-4) over a single wavelength, as shown in Fig. 2(b). Since each modulator is driven at a quarter of the symbol rate, which is 26 Gbaud in [12], required bandwidths of electrical and optical components are no longer challenging. Link experiments were carried out with this OTDM transmitter for 104 Gbaud on/off-keying (OOK), resulting in BER estimates of $7E-7$ (B2B) and $5E-6$ (1km SSMF) meeting KP4-FEC, and 104 Gbaud PAM-4, resulting in BER estimates of $8.9E-3$ (B2B) and $9.9E-3$ (1km SSMF) meeting the soft decision FEC ($2E-2$) often employed for 200 Gb/s coherent transceivers.

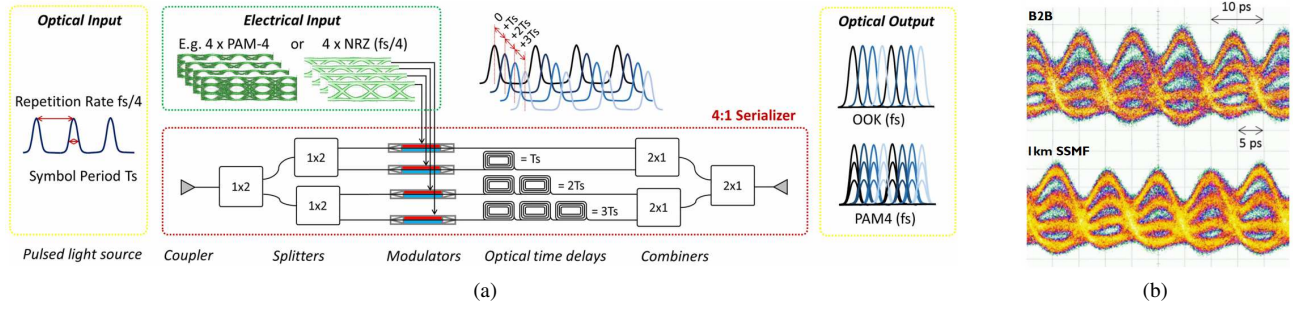


Fig. 2: Optical 4:1 serializer: (a) Operation principle; (b) Measured eye diagrams at 104 GBd in B2B and after 1km SSMF

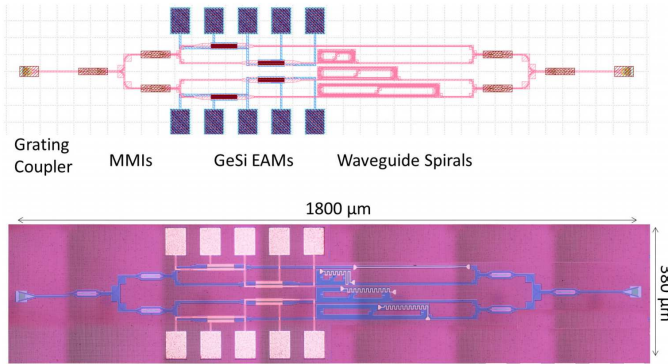


Fig. 3: Layout and microscope image of a 4:1 OTDM transmitter using EAMs

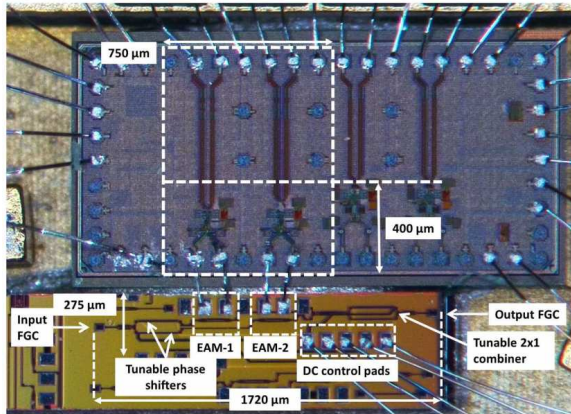


Fig. 4: Silicon integrated EAM PAM-4 transmitter.

For these experiments, PAM-4 was generated electrically and, apart from adjusting the placement of the PAM-4 levels in the arbitrary waveform generator (AWG) software, no DSP or equalization was used. The EAMs were driven with a 1.2 Vpp electrical signal resulting in about 7 dB dynamic ER. Further improvements can be expected when PAM-4 is generated optically relying on the ODAC-principle discussed in the previous section, to mitigate the adverse affects caused by the non-linear E/O transfer function of the EAM.

IV. EAM-BASED PAM-4 LINK

In previous sections, it was shown that EAMs can be a key building block in high speed optical transmitters. Meanwhile,

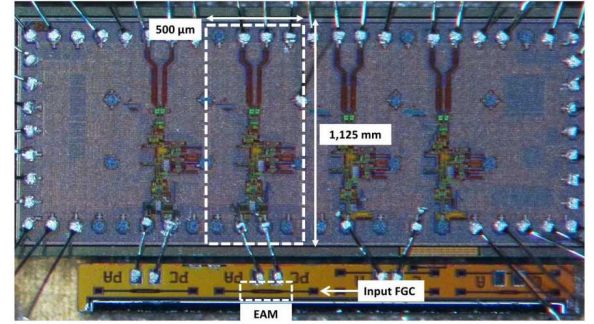


Fig. 5: Silicon integrated EAM PAM-4 receiver.

the EAM also provides an output current proportional to the incident optical power. Therefore, the same EAM used to modulate the light can be used to perform detection at the receiver side. Adopting the same EAM for modulation and detection was explored in [10] and [14], where the transmitter (Fig. 4, [14]) and receiver (Fig. 5, [14]) were designed based on the same SiGe C-band EAM. At 1565 nm and 2.5 V reverse bias, the adopted EAM offers a responsivity of 0.725 A/W and a 3 dB bandwidth over 50 GHz. The transmitter uses the ODAC principle discussed in Section II to generate 53 Gbaud PAM-4 using two 53 Gb/s NRZ streams with 300mVpp swing. As discussed in previous section, this ODAC transmitter results in an added 3 dB insertion loss but improves power efficiency of the transmitter and results in equidistant PAM-4 eyes. The ODAC was driven by a 55nm SiGe BiCMOS driver IC [15] wirebonded to the modulators. With this transmitter, up to 53 Gbaud PAM-4 was transmitted over B2B, 1 km SSMF and 2 km dispersion shifted fiber (DSF) at 1565 nm with BER values of respectively 3.8E-6, 7.9E-5 and 2.6E-4 [14]. The first two scenarios provide sub-KP4-FEC transmission and for the latter, the signal quality is just barely above KP-4 FEC but still well below 7%-hard decision FEC (3.8E-3). At the receiver side, a standalone EAM was wirebonded to a 55nm SiGe BiCMOS transimpedance amplifier IC [16]. With the aforementioned EAM-based transceiver, up to 40 Gbaud PAM-4 was shown in [14] reaching BER values of 2.6E-5 (B2B) and 3.2E-3 (1 km SSMF), without any equalization or DSP resulting in a total power consumption of 310 mW (3.9 pJ/b at 40 Gbaud PAM-4), excluding the laser.

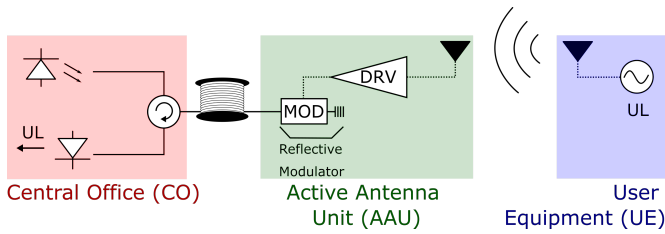


Fig. 6: Reflective implementation of the RFoF uplink path.

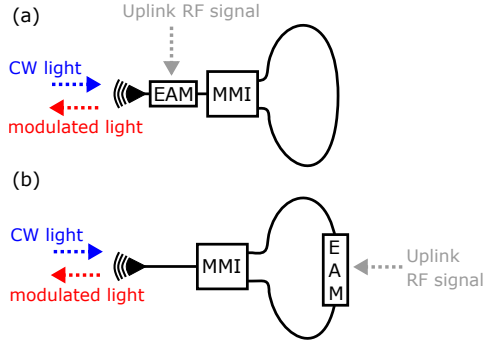


Fig. 7: Reflective EAM: (a) EAM in front of the loop mirror; (b) EAM embedded in the loop mirror.

V. TX FOR LASER-LESS MMWAVE-OVER-FIBER UPLINK

Densification of the communication cells and migration towards mmWave frequencies are two trends envisioned to cope with an ever-increasing demand for high-speed wireless data rates [17]. To make these techniques scalable, centralization is key and optical communication using the RoF principle [18] will be used to transfer the signal between the central office (CO) and the remote antenna units (RAUs). It is of paramount importance to make these RAUs as low-cost and low-complexity as possible. Hence, RF-over-Fiber (RFoF) is preferred over digitized RoF (DRoF, e.g. CPRI) or even IF-over-Fiber as it avoids the need for the distribution of a synchronous carrier and does not require up/down-conversion or ADC/DAC functionality at the RAU. To reduce the complexity and cost of the architecture even further, the uplink path should work in reflection (Fig. 6). This will make it possible to implement a single-fiber, circulator-less, laser-less RAU which will reduce cost and power consumption while relaxing stringent temperature control requirements at the RAU. For this purpose, a reflective EAM was designed to provide on-chip reflective modulation where the mirror functionality is implemented using standard integrated silicon photonics.

A. Reflective Electro-Absorption Modulator (R-EAM)

The devised reflective modulator used in this paper comprises an EAM and a loop mirror [19]. This allows for an integrated reflective modulator constructed on the iSiPP50G silicon photonics platform. Alternatively, a bottom mirror can be added to a surface normal EAM [20] but this requires changing the platform and is thus to be avoided. A multimode interferometer (MMI) with one input and two outputs was used with interconnected outputs to construct the mirror. This can

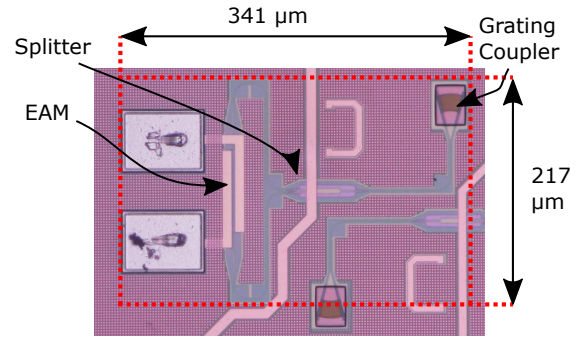


Fig. 8: Microscope image of the reflective EAM with modulator embedded in the loop mirror.

be done easily in silicon photonics due to the possibility to add bends with a small radius because of the high index contrast available in the SOI platform, resulting in a compact reflective modulator. The reflective EAM can then be implemented by either placing the EAM in front of the mirror (Fig. 7a) or by embedding it in the loop of the mirror (Fig. 7b). While the first will offer a larger modulation depth, it suffers from increased insertion losses. Due to challenges posed by back-reflection in the probing setup and in the fiber between CO and RAU, these increased on-chip insertion losses are to be avoided and the reflective modulator where the EAM is embedded inside the loop is preferred (Fig. 8).

The insertion loss of the reflective modulator consists of three loss contributions: I/O, modulator and mirror operation. First of all, the coupling from fiber to chip, which ideally results in 2.5 dB insertion loss for the adopted C-band grating couplers under optimal probing conditions but will typically be close to 5 dB in case of manual alignment. The grating coupler is passed twice (in and out) and thus we can expect approximately 10 dB insertion loss due to the coupling between fiber and chip. This contribution can however be improved significantly by using edge couplers and/or more accurate alignment. A second loss contribution is due to the modulator itself and will be dependent on the operation wavelength and bias voltage of the EAM. Assuming 1V reverse bias at 1550 nm, an insertion loss of 7 dB is found for a single EAM and if the EAM is placed in front of the mirror, this would be doubled due to double-passage of the light through the modulator. Finally, losses in the loop mirror itself are negligible.

For the assumed operation point, the R-EAM with the modulator embedded in the loop mirror thus results in approximately 17 dB fiber-to-fiber insertion loss, which can be improved to about 10 dB using an edge coupler instead of the grating coupler. Meanwhile, the unmodulated light fed to the R-EAM will partially be reflected at the flat glass/air interface of the fiber tip used to probe the grating coupler [21]. This reflection at the fiber tip results in a signal of comparable strength relative to the continuous wave (CW) tone in the modulated light coming back from the R-EAM resulting in undesired interference effects. The back reflection can be heavily reduced by making use of index matching gel between the fiber tip and grating coupler and is therefore crucial in the correct operation of the devised reflective RFoF transmitter.

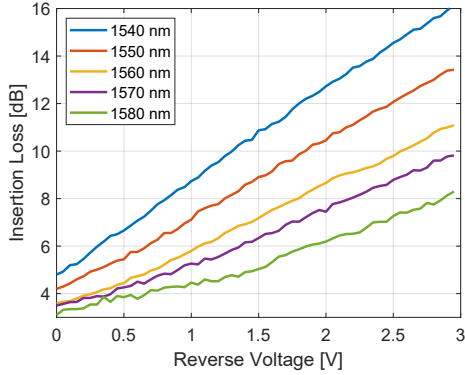


Fig. 9: Static insertion loss of the standalone $80\mu\text{m}$ EAMs.

EAM bias	Impedance
0V	47.6 - j44.9 Ω
1V	48.5 - j63.1 Ω
2V	49.9 - j68.9 Ω
3V	50.0 - j73.7 Ω

TABLE I: Impedance of the $80\mu\text{m}$ EAM at 28.5 GHz including an additional 495 pH wirebond inductance.

It should be noted that when such an index matching gel is present, the fiber angle used for probing the grating coupler should be changed accordingly.

The static insertion loss of the R-EAM depends on the operation wavelength and the adopted bias level. In Fig. 9, the insertion loss of a standalone EAM is plotted as a function of the applied bias voltage for multiple operation wavelengths. These values exclude any loss contributions caused by the grating couplers. It is clear that the insertion loss increases for stronger biasing of the EAM and for lower wavelengths.

B. Driver & R-EAM Transmitter

A dedicated amplifier was designed to drive the modulator in the 28 GHz band. The driver is implemented using a $0.1\mu\text{m}$ pHEMT GaAs technology and is based on the design of the low noise amplifier (LNA) in the narrowband photoreceiver discussed in [22]. Two major changes were, however, required to ensure that the LNA can drive an EAM. On the one hand, the input and output matching networks were readjusted. The original LNA in [22] expects a PD-wirebond combination at the input and 50Ω load at the output. For the EAM driver, these values change to a 50Ω at the input and a wirebond-EAM combination at the output. On the other hand, the output matching network should include an internal bias tee to allow for easy biasing of the EAM.

The wirebond-EAM combination results in the load impedances provided in Table I. These values depend on the applied EAM bias and are given for a wirebond inductance of 495 pH. This inductance value was established in [22] by interpreting the wirebond pair as a current loop consisting of two conductors of $25\mu\text{m}$ diameter spaced $100\mu\text{m}$ apart and having a length of around $600\mu\text{m}$. The driver is designed for the value at 1V EAM bias, namely $48.5-j63.1\Omega$. The final layout of the driver is shown in Fig. 10, where the values next to the transmission line elements show changes in the

matching network relative to the design of the LNA [22] to accommodate for different load and source impedances. Meanwhile, the core of the amplifier remained the same as in [22]. The assembled reflective narrowband transmitter is shown in Fig. 11 and comprises a 28 GHz narrowband GaAs driver and reflective SiGe EAM, where the latter is constructed by embedding the EAM in a loop mirror. The power consumption depends on the drain supply of the amplifier and will be 124 mW for a 2V supply and increases to 247 mW for a 3V supply. In the remainder of this section, the small signal behavior, noise and linearity will be discussed in more detail.

The small signal measurements are performed in a 50Ω environment and the obtained S-parameters are subsequently transformed for the desired interface impedances, namely 50Ω at the input and a wirebond-EAM combination at the output. These transformed S-parameters of the driver are shown in Fig. 12(a)-(c) together with the simulated data for a 2V driver supply and a 1V EAM bias, with no noticeable difference in small signal behavior when the driver supply is increased to 3V. The measured S_{21} has a peak value of 25.7 dB at 26.8 GHz and the 3 dB bandwidth ranges between 24.7 and 30.6 GHz. Furthermore, the -10 dB return loss bandwidth covers 25.0 to 30.5 GHz at the input and 26.5 to 30.4 GHz at the output.

Subsequently, the transmitter was used in an RFoF link to characterize the transfer function of the entire transmitter including the electro-optic conversion in the modulator. A 70 GHz photodetector was used as a receiver to make sure that bandwidth dependent effects of the link can be attributed to the transmitter. The adopted setup for these experiments is shown in Fig. 13 and a VOA was used to keep the DC power level incident on the photodetector constant. This allows us to ignore the wavelength dependent insertion losses and focuses on variations in modulation depth in the transmitter for different operation wavelengths. The results of these experiments are shown in Fig. 12(d) and it can be seen that there is a change in modulation depth when altering the operation wavelength. Nevertheless, the normalized transfer function appears to be independent of the wavelength. A decrease in bandwidth can be seen compared to the standalone driver. Whereas the 3-dB bandwidth of the driver ranges between 24.7 and 30.6 GHz, the transmitter results in a 3-dB bandwidth between 24.4 and 29.5 GHz covering most of the new radio band n258 (24.25-27.5 GHz) and the entire n257 band (26.5-29.5 GHz). This bandwidth reduction might be caused by an increased wirebond inductance and/or bandwidth limitations in the electro-optic conversion of the modulator.

Next, the noise of the devised RFoF transmitter should be quantified. Since the core design of the amplifier in [22] was reused, the noise figure (NF) is comparable. Simulated noise figure values can be found in Fig. 14 and the minimum NF for 2V and 3V driver supply is respectively 2.03 and 2.17 dB.

To conclude the characterization, the linearity of the RFoF transmitter will be discussed. The adopted setup to characterize the input referred 1 dB compression point is shown in Fig. 15. The resulting values of the linearity at 28 GHz are given in Table II for varying wavelengths and EAM bias levels given a 3V driver supply and an optical power of 0 dBm incident on the photodetector. Additional experiments show

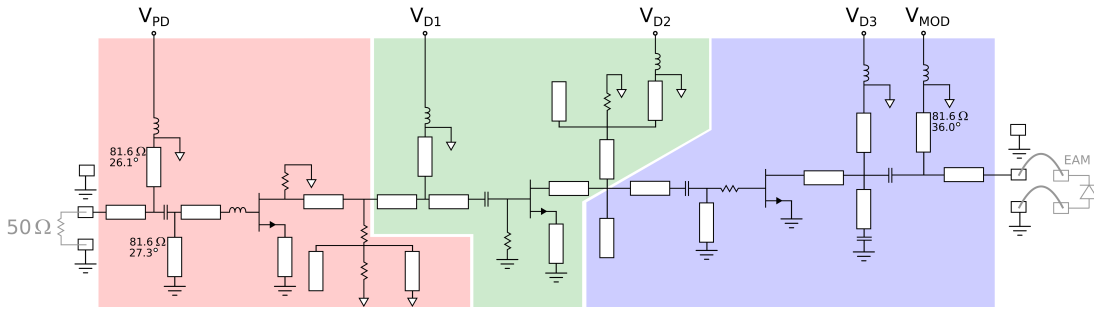


Fig. 10: Overall schematic of the 3-stage narrowband EAM driver.

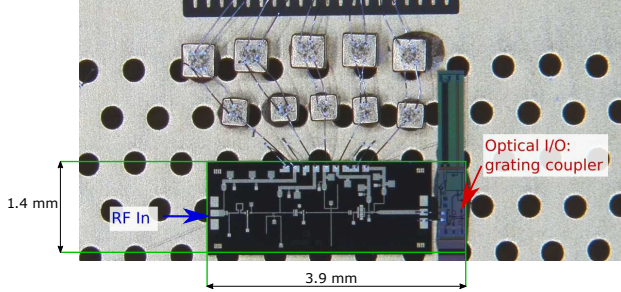


Fig. 11: Reflective 28 GHz RFoF transmitter.

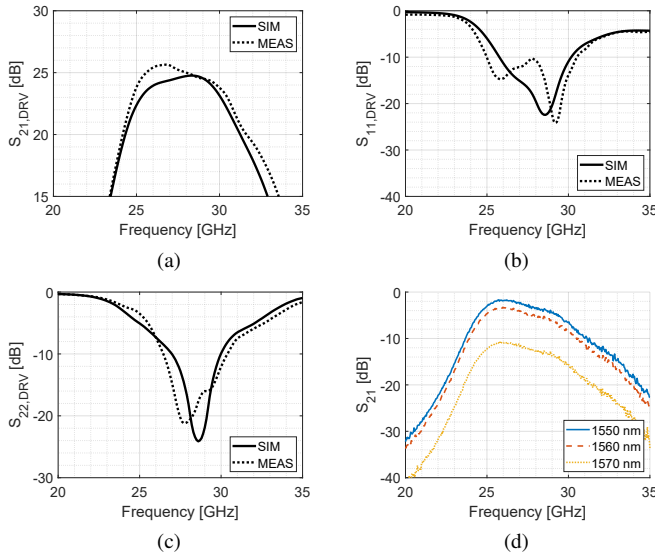


Fig. 12: (a)-(c) EAM driver S-parameters (d) Transfer function of the transmitter (2V driver supply, 1V EAM bias).

that the change in linearity is negligible when reducing the driver supply to 2V, showing that the modulator and not the driver determines the linearity of the transmitter. Furthermore, changing the optical power incident on the photodetector does not influence the linearity of the link, indicating that the transmitter is indeed the limiting factor of the linearity in the RFoF link. Based on table II, we can conclude that the linearity in the wavelength range of interest improves for increasing reverse bias of the EAM. However, stronger reverse biasing also increases insertion loss and results in increased reflections at the output of the driver.

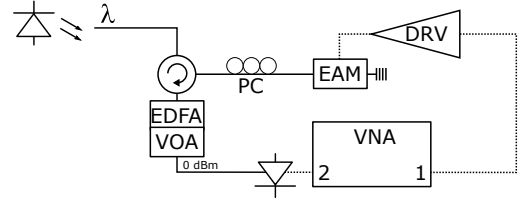


Fig. 13: Setup for S_{21} characterization (PC: polarization controller; DRV: driver; VOA: variable optical attenuator).

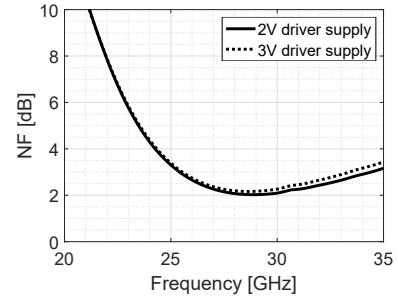


Fig. 14: Simulated noise figure of the RFoF transmitter.

C. Link Experiments

In [23], the RFoF transmitter discussed above was used in fiber-wireless link experiments together with the photoreceiver described in [22]. Due to the presence of a wavelength division multiplexer (WDM) in the adopted photoreceiver, the laser wavelength was fixed to 1550 nm. Those experiments demonstrated a downlink and uplink data capacity of 12 Gb/s and 8 Gb/s for respectively 1m (rms error vector magnitude EVM < 6.8%) and 3m (rms EVM < 9.7%) wireless distance in a mmWave-over-Fiber link with 2km fiber meeting respectively the 3GPP criteria for 64-QAM (rms EVM < 8%) and 16-QAM (rms EVM < 12.5%). For longer wireless distances, orthogonal frequency domain multiplexing (OFDM) operation is desired to overcome equalization challenges due to multipath induced channel fading. Using OFDM, up to 7.02 Gb/s was achieved with an rms EVM below 10% over 2km fiber and 5m wireless distance with sufficient signal quality (rms EVM below 7.8%) to allow for 10.53 Gb/s operation when limiting the wireless distance to 1m. In these experiments, uplink and downlink operation were tested separately since new radio standards at 28 GHz adopt a time division duplexing (TDD) scheme [24].

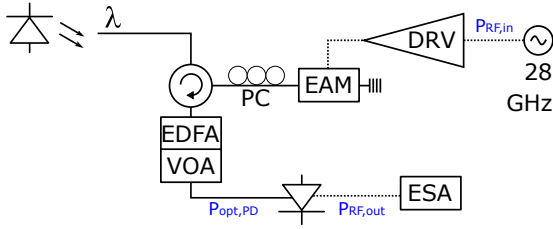


Fig. 15: Setup for 1dB compression measurement (ESA: electrical spectrum analyzer).

	$\lambda = 1550 \text{ nm}$	$\lambda = 1560 \text{ nm}$	$\lambda = 1570 \text{ nm}$
$V_{\text{EAM}} = 0.5 \text{ V}$	-26 dBm	-25 dBm	-23 dBm
$V_{\text{EAM}} = 1 \text{ V}$	-24 dBm	-24 dBm	-22 dBm
$V_{\text{EAM}} = 2 \text{ V}$	-20 dBm	-23 dBm	-20 dBm
$V_{\text{EAM}} = 3 \text{ V}$	-18 dBm	-21 dBm	-16 dBm

TABLE II: RFoF transmitter input referred 1dB compression point at 28 GHz (3V driver supply).

VI. SDOF EXPERIMENTS WITH EAM-TX

In the previous section, RFoF was discussed as a technique to transfer data optically between CO and RAU. Three types of Radio-over-Fiber (RoF) are considered, as shown in Fig. 16, [25]. First, one can rely on digitized RoF (DRoF), offering good immunity to noise and non-linearities in the optical link. Unfortunately, this strategy results in complex RAUs and should therefore be avoided in high-frequency, small-cell mobile networks. Second, Analog RoF (ARoF), which includes RFoF, allows for simple RAUs, only requiring conversion between the optical and electrical domain in combination with amplification of the electrical signal. However, ARoF requires a low-noise, high-linearity link to achieve high signal quality. Sigma-Delta-over-Fiber (SDoF) combines the advantages of DRoF (high immunity to noise and non-linearities) and ARoF (low-complexity RAUs). The SDoF architecture starts from the baseband data, which is oversampled and quantized to a 1-bit signal [26]. Digital upconversion is then used to shift the signal to the correct RF carrier. At the receiver side of a SDoF link, the optical signal is converted back to an electrical signal, bandpass-filtered and amplified. Hence, such a SDoF optical link requires low-complexity receivers, while the immunity to noise and non-linearities is much higher than ARoF schemes since a binary signal is sent over the optical link.

Future mobile communication networks make use of very high carrier frequencies, resulting in impractically high required sampling rates of such SDoF transmitters. In that respect, a parallel EAM structure was used in [27] to modulate in-phase (I) and quadrature (Q) components on separate EAMs and combine these two signals in the optical domain (Fig. 17, [27]). This helps to halve the required sampling rate of the Sigma-Delta transmitter. The resulting all-digital SDoF transmitter from [27], demonstrates up to 5.25 Gb/s 64-QAM data transmission at 28 GHz (n257: 26.5-29.5 GHz) over 10km SSMF at 1560nm with an rms EVM of 7.6% (this complies with the 3GPP requirement for 64-QAM, which states that the rms EVM should be below 8%). Furthermore, by tuning the optical phase and the power combining ratio of the MZI, gain mismatch and chromatic dispersion notches can be alleviated.

VII. QUADRATURE EAM STRUCTURE

In this section, the quadrature EAM structure, schematically shown in Fig. 18, is discussed. It consists of two EAMs where each modulator is located in a different arm of a MZI topology. Heaters, indicated by HTR, are present to thermally set the optical phase difference between both arms of the MZI and consequently set the bias point of the quadrature EAM structure. Importantly, the EAMs are found at different positions in both arms of the MZI. This is done to introduce an equivalent electrical delay between both modulators and should be designed depending on the required electrical phase difference that needs to be present between the signals fed to the two modulators. Migrating this RF delay to the optical domain helps to reduce insertion loss, size and complexity. An interesting device can be obtained when an equivalent quarter period delay (90°) at the RF frequency is realized between both modulators. In that scenario, the structure allows for optical single sideband (OSSB) generation and full duplex transceivers, as will be discussed in the remainder of this section. The licensed 28 GHz band is of particular interest for future mobile communication and for that frequency, the required optical waveguide length difference to implement a quarter-period delay is $628.8 \mu\text{m}$ (eq. 1). In equation 1, f_{RF} denotes the RF carrier frequency, c the speed of light and n_g the group index of the optical waveguide. In the iSiPP50G platform used to realize this quadrature EAM, the group index for a 450 nm wide strip waveguide is equal to 4.26 in C-band. The final design of this quadrature EAM structure targeting the 28 GHz band is shown in Fig. 19.

$$L = \frac{1}{4f_{RF}} \frac{c}{n_g} \quad (1)$$

A. Optical Single Sideband Transmitter

Compared to regular optical dual sideband communication, OSSB results in improved spectral efficiency and avoids chromatic dispersion notches. Optical single sideband generation for narrowband data is often implemented using optical filtering [28] or by quadrature driving the two inputs of a dual-drive Mach-Zehnder modulator [29]. By using the structure described above, OSSB generation is achieved based on the second principle but instead of constructing the quadrature variants of the signal electrically, both EAMs expect the same signal. The required relative 90° phase shift between the arms, needed for OSSB, is implemented optically (Fig. 20).

Assume that the signal at the input of the EAMs is a cosine with a frequency of 28 GHz, which is the frequency where a quarter period delay is experienced between both EAMs. The amplitude modulator operation is then modelled by equation 2 where m denotes the modulation depth while A_{out} and A_{in} respectively denote the amplitude of the EAM output and input optical field. Without loss of generality, these equations assume lossless modulation, as the actual loss will only influence the functional behavior when it differs in both arms. Together with these formulas, one can calculate the optical field at output 1 and 2 using equations 3 and 4, where ω_c and ϕ_c are the frequency and phase of the optical carrier.

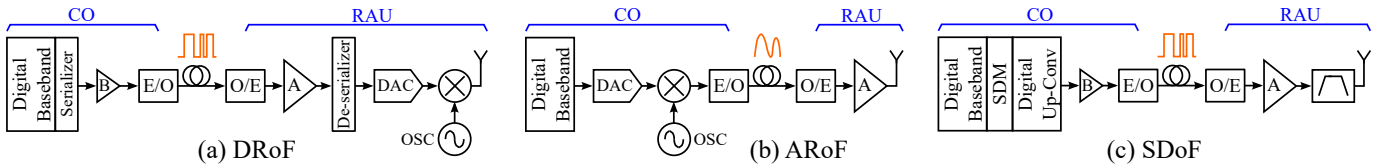


Fig. 16: RoF implementation types – B: Binary Driver; A: Amplifier; OSC: Oscillator; SDM: Sigma Delta Modulator.

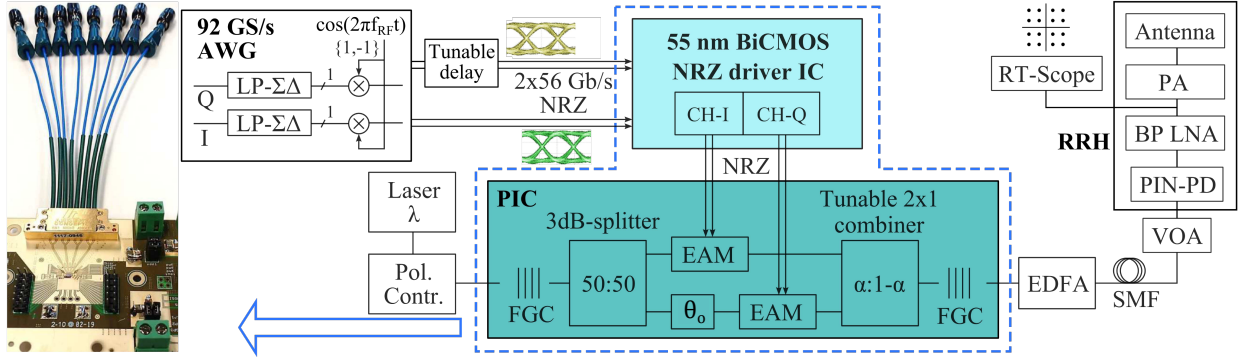


Fig. 17: Block diagram and experimental setup for the sigma-delta radio-over-fiber (SDoF) transmission using parallel EAMs.

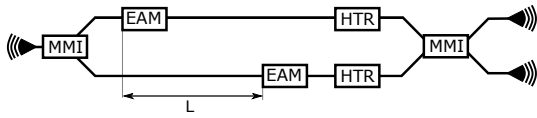


Fig. 18: Architecture of the quadrature EAM structure (HTR: heater; MMI: multi-mode interferometer).

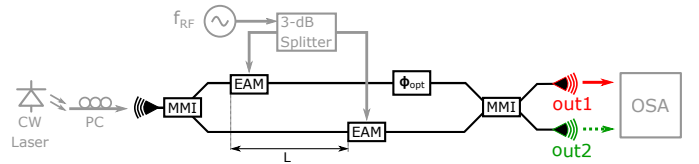


Fig. 20: OSSB generation with the quad-EAM structure (OSA: Optical spectrum analyzer).

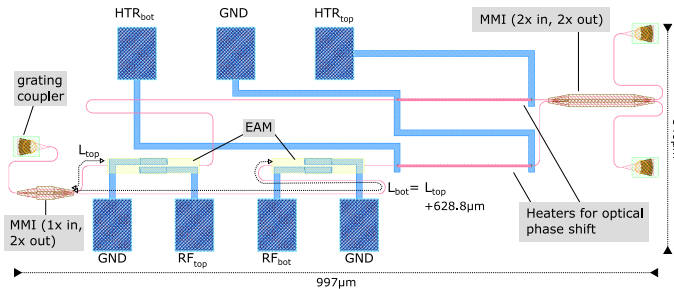


Fig. 19: Layout quadrature EAM for the 28 GHz band

$$\begin{cases} A_{out}(t) &= A_{in} \sqrt{(1-m) + m \cos(\omega_{RF} t)} \\ A_{out}(t - \frac{T}{4}) &= A_{in} \sqrt{(1-m) + m \sin(\omega_{RF} t)} \end{cases} \quad (2)$$

$$E_{out1}(t) = \frac{e^{-j\omega_c t} e^{-j\phi_c}}{2} \times \left[e^{j\pi/2} A_{out}(t) + e^{j\phi_{opt}} A_{out}(t - \frac{T}{4}) \right] \quad (3)$$

$$E_{out2}(t) = \frac{e^{-j\omega_c t} e^{-j\phi_c}}{2} \times \left[A_{out}(t) + e^{j\phi_{opt}} e^{j\pi/2} A_{out}(t - \frac{T}{4}) \right] \quad (4)$$

Correct operation is verified using VPItransmissionMaker for three different settings of the optical phase ϕ_{opt} , as shown

in Fig. 21. When this optical phase shift equals 0° , OSSB signals are present both at output 1 and 2 respectively providing the lower and upper sideband. When a 180° optical phase shift is applied, both outputs still present OSSB signals but now the upper and lower sideband variants are interchanged. Finally, it is interesting to take a closer look at what happens when a 90° phase shift is set. This will result in a carrier-suppressed optical double sideband signal at output 2. These results correspond with the behavior found when solving equations 3 and 4.

To conclude the discussion of the OSSB transmitter, sideband suppression ratio (SBSR) degradation will be considered. Assume that the heater is set in such a way that ϕ_{opt} is exactly equal to 90° . It should be noted that non-perfect quadrature operation, i.e. the RF delay between the modulated signal in both arms is not exactly 90° , can have a significant influence. This deviation can be due to fabrication tolerances but it can also be introduced by having a finite signal bandwidth, i.e. narrowband approximation no longer holds, or by operating at carrier frequencies deviating from the design frequency. When the realized RF phase shift between the modulated signal in both arms is not exactly 90° , a finite SBSR is obtained, which is given in Fig. 22. A second hurdle to realize high SBSR relates to scenarios where the power in the top and bottom arm of the MZI differ. The influence of non-perfect 50/50 splitting in the input MMI is illustrated in Fig. 23.

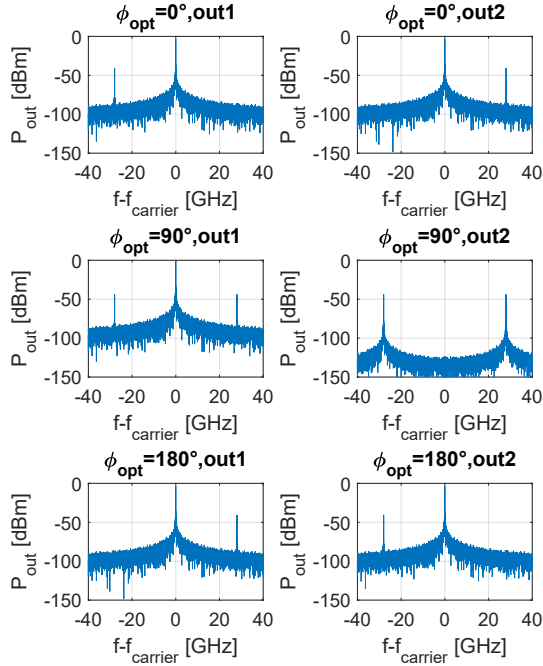


Fig. 21: Simulated output spectrum given ϕ_{opt} relative optical phase difference and equal RF input at both EAMs.

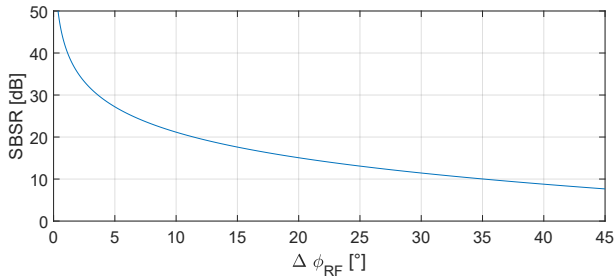


Fig. 22: Sideband suppression ratio (SBSR) as a function of deviation in the realized RF delay between the EAMs.

B. Full Duplex Transceiver

In a similar fashion as section IV, one can use the EAMs in this quadrature structure for simultaneous modulation and detection of RF signals. Together with an electrical 90° hybrid coupler, this enables full duplex operation in the quadrature EAM with good isolation between downlink and uplink path. Such a quadrature electrical hybrid splits an input signal equally over both output ports with 90° phase difference between both outputs. The full-duplex 28 GHz transceiver is presented in Fig. 24 and it should be noted that output 1 is used as the output of the system and that ϕ_{opt} is set to 90° . First, consider uplink operation, i.e. the EAM modulates incoming CW laser light. The optical field presented at output *OUT1* can be written as eq. 5 which differs from eq. 3 because the signals applied to top and bottom EAM are no longer in phase. When a cosine with 28 GHz RF frequency is applied to input port *RF1*, the amplitude modulation in the top and bottom EAM correspond with eq. 6 resulting in a modulated signal at output port *OUT1*. Furthermore, when that same

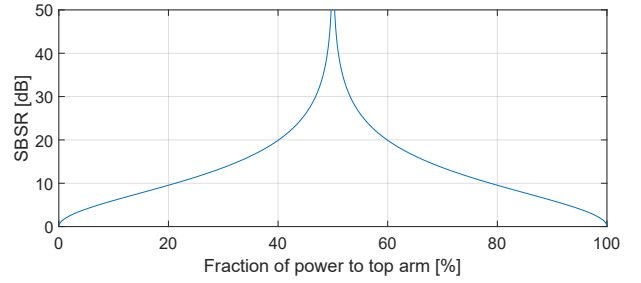


Fig. 23: Sideband suppression ratio (SBSR) as a function of power splitting ratio in the input MMI.

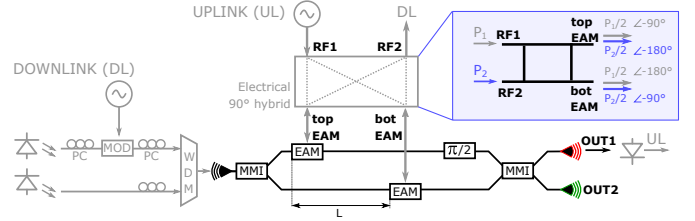


Fig. 24: Full duplex with the quad-EAM (MOD: Modulator, WDM: Wavelength division multiplexer; bot: Bottom).

cosine enters input port *RF2*, the amplitude modulation in top and bottom EAM correspond with eq. 7 resulting in absence of modulation at output *OUT1*. Hence, modulation occurs at *RF1* and modulation is isolated from any signal present at *RF2*.

$$E_{out1}(t) = \frac{e^{-j\omega_c t} e^{-j\phi_c}}{2} \times \left[e^{j\pi/2} A_{out,bot}(t) + e^{j\phi_{opt}} A_{out,top}(t - \frac{T}{4}) \right] \quad (5)$$

$$\begin{cases} A_{out,bot}(t) &= A_{in} \sqrt{(1-m) + m \cos(\omega_{RF} t - \pi/2)} \\ A_{out,top}(t - \frac{T}{4}) &= A_{in} \sqrt{(1-m) + m \sin(\omega_{RF} t)} \end{cases} \quad (6)$$

$$\begin{cases} A_{out,bot}(t) &= A_{in} \sqrt{(1-m) + m \cos(\omega_{RF} t)} \\ A_{out,top}(t - \frac{T}{4}) &= A_{in} \sqrt{(1-m) + m \sin(\omega_{RF} t - \pi/2)} \end{cases} \quad (7)$$

To conclude this section, also the downlink needs to be considered. Assume light modulated at 28 GHz enters the quadrature EAM, the output current of the top and bottom EAM will, amongst others, include a 28 GHz cosine. After the modulated light enters the quadrature EAM, it is split and light needs to travel an extra $628.8 \mu\text{m}$, corresponding to a quarter period at 28 GHz, to arrive at the bottom EAM relative to the top EAM. Consequently, for the downlink communication, the 28 GHz cosine generated by the top EAM will lead by 90° relative to the cosine generated by the bottom EAM. Both these currents generated by the EAMs are assumed to be equally strong and in that scenario, they will show perfect constructive interference at the *RF2* port of the electrical hybrid and perfect destructive interference at the *RF1* port. Consequently, the devised structure described in Fig. 24 offers

an uplink RF input and downlink RF output, respectively at port *RF1* and *RF2* of the electrical hybrid, without needing an optical and/or electrical circulator. Furthermore, it can be seen that these two are perfectly isolated from each other. Isolation will degrade when the output current of both EAMs are not equally strong, when the electrical hybrid does not provide perfect 50/50 splitting, when the delay between both EAMs is not exactly a quarter-period of the RF carrier or when the electrical hybrid does not introduce proper 90° phase difference between its outputs.

VIII. FREQUENCY UPCONVERSION WITH EAM

Next generation mobile networks target very high carrier frequencies as the higher end of the electromagnetic spectrum typically offers more contiguous bandwidth and is significantly less congested. Unfortunately, these high-carrier signals are not easily constructed in the electrical domain. Integrated microwave photonics can offer a solution by implementing the up- and downconversion optically such that the electrical data can be generated at a much lower intermediary frequency (IF) or even in baseband. Optical implementations of the mixing functionality allow for low-cost operation at a much higher frequency while offering significantly improved isolation and operation bandwidth. Two architectures for integrated photonic microwave frequency conversion have been presented by the authors in [30], [31]. In the first structure, a parallel EAM placed in a MZI topology was devised to realize the frequency conversion by feeding the IF signal to one of the EAMs while feeding the local oscillator (LO) signal to the other EAM as shown in Fig. 25, [30]. Heaters are present in the arms of the MZI to ensure that there is a 180° phase shift between both arms. This will help to suppress the optical carrier in the output of the mixer. In [30], this photonic mixer was used to demonstrate upconversion of a 1.5 GHz IF signal to arbitrary carrier frequencies in the range of 7 to 26 GHz. Furthermore, starting from an IF frequency of 3.5 GHz, [30] demonstrated upconversion to 28 GHz. After 2 km of SSMF, it was shown that 218.75 Mbaud, 16-QAM generated with this mixer, was received with an rms-EVM of 8.3%, meeting the 3GPP NR specifications for 16-QAM [32]. This mixer offers a conversion loss of approximately 20 dB given a 1.5 GHz IF frequency and 24 GHz RF frequency. Furthermore it was established that this mixer offers a spurious free dynamic range (SFDR) of 82 dB.Hz^{2/3}.

A second, more flexible, photonic mixer has been discussed in [31]. This mixer is depicted in Fig. 26, [31] and expects a dual tone laser light at its input. The frequency separation of this dual tone input should be equal to the LO frequency, in case of upconversion from the IF signal, or RF frequency, when starting from I/Q baseband data. The two laser lines are deinterleaved using microring resonators. Subsequently the light present at the drop port of the microring is modulated. Depending on the splitting ratio of the tunable splitters, the dropped tone is modulated by an EAM-based MZM, a single EAM or even a combination of both. In [31], only the first scenario is considered. The modulated and unmodulated laser tones are subsequently recombined by the second microring

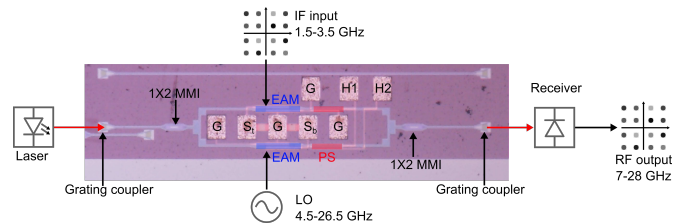


Fig. 25: Parallel EAM structure for upconversion.

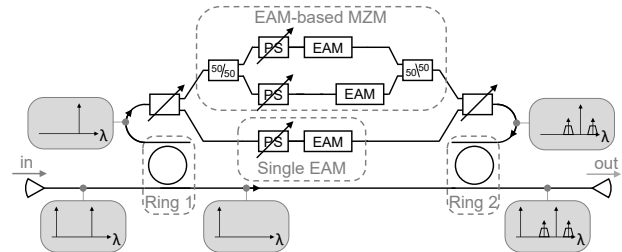


Fig. 26: Microring-assisted upconversion (PS: Phase shifter).

resonator resulting in the output light containing the upconverted data. As discussed, the modulating path can be routed to a single EAM which only provides amplitude modulation. The modulating path can also be switched to the MZM-EAM with 90° optical phase shift between both arms to enable coherent modulation and as such making upconversion of baseband data possible. In [31], the modulating path was configured to pass all the light through the EAM-based MZM. An IF signal was modulated on both arms with an electrical phase shift of 90° between both arms to construct an OSSB signal. Using this photonic mixer and starting from an IF frequency of 3.5 GHz, [31] demonstrated upconversion to the 24-28 GHz band which includes the n258 band ranging from 24.25 to 27.5 GHz. 50 Mbaud 64-QAM, or 200 Mbaud 16-QAM can be generated with an rms-EVM of respectively 3.6% and 10.4%, meeting the 3GPP NR specifications [32]. This mixer offers a conversion loss of approximately 26 dB given a 3.5 GHz IF frequency and 24 GHz RF frequency.

IX. CONCLUSION

Interconnects in data centers and in the fronthaul of cellular networks require increasingly faster data rates and to accomplish this, optical communication is the key. In this paper, silicon photonics solutions for these applications are covered since silicon photonics is an integrated platform leveraging the microelectronics CMOS industry and its benefits such as low cost, high yield and high volume capabilities. Silicon photonics is a high index contrast integrated photonics platform and can thus realize high complexity devices on small chip areas. In this work, we have specifically looked at devices consisting of SiGe electro-absorption modulators. These are compact devices that offer low-power, high-speed modulation. In the first part of this paper, we showcased how these EAMs can result in high-speed, low-power DCI transmitters and even receivers. In the second part, we discussed several structures that can play a key role in the RoF fronthaul for next-generation mobile networks.

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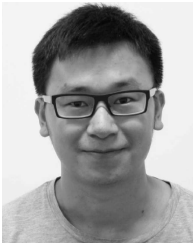
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